



Sector #

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,792	07/27/2001	Sean James Martin	GB920010043US1

CONFIRMATION NO. 8788

FORMALITIES LETTER



OC000000006508815

A. Bruce Clay
IBM Corporation T81/503
PO Box 12195
Research Triangle Park, NC 27709

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

09/19/2001 KZWDIE 00000043 090461 09916792

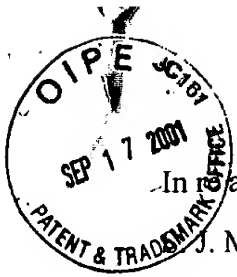
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*A copy of this notice **MUST** be returned with the reply.*

Wei Wen Ma
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3
IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : September 13, 2001
J. Martin, et al : IBM Corporation
Ser. No. 09/916,792 : Dept.T81/Bldg. 062
Filed: 07/27/2001 : P.O. Box 12195
For: Regulating Access to a Scarce Resource : Park, NC 27709

Notice to File Missing Parts of Application

Filing Date Granted

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated September 4, 2001, please find enclosed a Declaration and Power of Attorney with original signatures for the above identified application.

The Examiner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

The surcharge of \$130.00 set forth in 37 CFR 1.16(e)

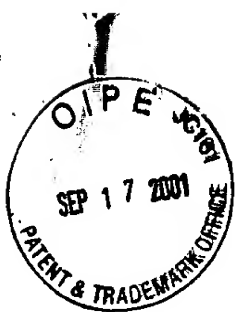
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

A. Bruce Clay

A. Bruce Clay
Attorney for Applicants
Reg. No. 32,121

ABC:cmr
Enclosure
Docket No: GB920010043US1
Phone: 919-254-6717
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Docket No. GB920010043US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: S. J. Martin, et al
Serial No.: 09/916,792
Filed: 07/27/2001
For: Regulating Access to a scarce Resource

Assistant Commissioner of Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Notice to File Missing Parts of Application (In duplicate).
2. Copy of Notice to File Missing Parts received from Patent Office.
3. Declaration and Power of Attorney form signed by all inventors.
4. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents
Attention: Office of Initial Patent Examination's Customer Service Center
Washington, D.C. 20231

on September 13, 2001

Catherine M. Robbins
(Name of person mailing paper or fee)

Catherine M. Robbins
(Signature of person mailing paper or fee)